

Office - Supreme Court, U.S.  
FILED  
MAY 8 1984  
ALEXANDER L STEVENS  
7K

NO. 83-1662

IN THE  
**Supreme Court of the United States**  
OCTOBER TERM, 1983

DENNIS J. LEWIS,  
*Petitioner,*

v.

BROWN & ROOT, INC.,  
*Respondent.*

On Writ of Certiorari to the  
United States Court of Appeals  
for the Fifth Circuit

RESPONDENT'S BRIEF IN OPPOSITION

W. CARL JORDAN  
STUART B. JOHNSTON, JR.  
2939 First City Tower  
Houston, Texas 77002-6760  
(713) 651-2258

*Attorneys for Respondent,  
Brown & Root, Inc.*

*Of Counsel:*

VINSON & ELKINS  
First City Tower  
Houston, Texas 77002-6760

## QUESTIONS PRESENTED

The district court and the court of appeals properly decided this case. However, for purposes of opposing further review of the issues raised in the Petition for Writ of Certiorari, Respondent submits the following restatement of the questions presented by Petitioner:

1. Whether the findings of the Fifth Circuit that Petitioner's appeal was frivolous, unreasonable and without foundation and that Petitioner's counsel unreasonably and vexatiously multiplied these proceedings are clearly erroneous.
2. Whether the Chief Justice of the United States Supreme Court should make public statements regarding frivolous lawsuits when a Petition for Rehearing and a Suggestion for Rehearing En Banc are pending before the Fifth Circuit on issues regarding frivolous lawsuits.
3. Whether the findings of the district court that Petitioner's action was frivolous, unreasonable and without foundation and that Petitioner's counsel unreasonably and vexatiously multiplied these proceedings are clearly erroneous.
4. Whether the district court may award attorneys' fees against Petitioner and his counsel after ten days from the entry of judgment and after the filing of a notice of appeal.
5. Whether Petitioner's counsel waived his right to conduct redirect examination of Petitioner by failing to return from a fifteen minute recess that Petitioner's counsel requested.
6. Whether the district court's finding of no discrimination was clearly erroneous.

7. Whether the district court abused its discretion in dismissing Petitioner's case for want of prosecution.
8. Whether Petitioner has standing to contend bias by the district judge.

## III

## TABLE OF CONTENTS

	Page
QUESTIONS PRESENTED .....	1
OPINIONS IN THE COURTS BELOW .....	1
STATEMENT OF JURISDICTION .....	2
STATUTORY PROVISIONS INVOLVED .....	2
STATEMENT OF THE CASE .....	3
SUMMARY OF ARGUMENT .....	6
REASONS WHY THE WRIT SHOULD BE DENIED ..	7
1. Petitioner Has Improperly Sought Review of Issues on Which Writ of Certiorari Has Been Denied .....	7
2. None of the Considerations Which Warrant the Su- preme Court's Exercise of Discretionary Jurisdiction on Writ of Certiorari Is Met .....	8
3. The Petition Merely Challenges the District Court's Findings of Fact Which Received the Concurrence of the Court of Appeals .....	9
4. The Decisions of the District Court and the Court of Appeals Are Correct .....	12
REQUEST FOR DAMAGES AND DOUBLE COSTS .....	16
CONCLUSION .....	17
CERTIFICATE OF SERVICE .....	18
APPENDIX .....	1a

## TABLE OF AUTHORITIES

CASES	Page
<i>Anthony v. Marion County General Hospital</i> , 617 F.2d 1164 (5th Cir. 1980) .....	15
<i>Christiansburg Garment Co. v. EEOC</i> , 434 U.S. 412 (1978) .....	10
<i>Graver Tank &amp; Manufacturing Co. v. Linde Air Products Co.</i> , 336 U.S. 271 (1949) .....	11
<i>Knighton v. Watkins</i> , 616 F.2d 795 (5th Cir. 1980) .....	13
<i>Lawn v. United States</i> , 355 U.S. 339 (1958) .....	13
<i>Lopez v. Aransas County Independent School District</i> , 570 F.2d 541 (5th Cir. 1978) .....	15
<i>National Hockey League v. Metropolitan Hockey Club, Inc.</i> , 427 U.S. 639 (1976) .....	15
<i>United States v. Johnston</i> , 268 U.S. 220 (1925) .....	11
<i>United States Postal Service Board of Governors v. Aikens</i> , 103 S. Ct. 1478 (1983) .....	14
<i>Yates v. Manale</i> , 377 F.2d 888 (5th Cir. 1967), <i>cert. denied</i> , 390 U.S. 943 (1968) .....	16
UNITED STATES STATUTES	
28 U.S.C. § 144 .....	3, 16
28 U.S.C. § 1254(1) .....	2
28 U.S.C. § 1927 .....	3, 10, 17
42 U.S.C. § 1981 .....	4
42 U.S.C. § 2000e, <i>et seq.</i> .....	4
42 U.S.C. § 2000e-5(k) .....	2, 10
FEDERAL RULES OF CIVIL PROCEDURE	
Rule 59(e) .....	13
UNITED STATES SUPREME COURT RULES	
Rule 17 .....	6, 8
Rule 17.1(a) .....	9
Rule 49.2 .....	16
Rule 50.7 .....	16
Rule 51 .....	8

NO. 83-1662

---

IN THE  
**Supreme Court of the United States**  
OCTOBER TERM, 1983

---

DENNIS J. LEWIS,  
*Petitioner,*

v.

BROWN & ROOT, INC.,  
*Respondent.*

---

On Writ of Certiorari to the  
United States Court of Appeals  
for the Fifth Circuit

---

**RESPONDENT'S BRIEF IN OPPOSITION**

---

**OPINIONS IN THE COURTS BELOW**

The decision of the Court of Appeals for the Fifth Circuit affirming the decision of the district court is reported at 711 F.2d 1287 (5th Cir. 1983), *cert. denied*, 104 S. Ct. 975 (1984). The decision of the Court of Appeals for the Fifth Circuit reconsidering its previous decision *sua sponte* and awarding Respondent attorneys' fees on appeal and double costs is reported at 722 F.2d

209 (5th Cir. 1984). The opinion of the district court dismissing Petitioner's case on the merits and for want of prosecution is reported at 32 Fair Empl. Prac. Cas. (BNA) 1089 (S.D. Tex. 1982), and 30 Empl. Prac. Dec. (CCH) ¶ 33,040 (S.D. Tex. 1982). The opinion of the district court awarding attorneys' fees to Respondent is reported at 32 Fair Empl. Prac. Cas. (BNA) 1090 (S.D. Tex. 1982), and 30 Empl. Prac. Dec. (CCH) ¶ 33,041 (S.D. Tex. 1982).<sup>1</sup>

### **STATEMENT OF JURISDICTION**

Contrary to Petitioner's statement of jurisdiction, the judgment of the Court of Appeals for the Fifth Circuit, from which the petition arises, was entered on January 9, 1984 (attached as Appendix J to the petition). The jurisdiction of this Court is invoked under 28 U.S.C. § 1254(1).

### **STATUTORY PROVISIONS INVOLVED**

The following statutory provisions are of relevance:

#### **42 U.S.C. § 2000e-5(k)**

In any action or proceeding under this subchapter [Title VII of the Civil Rights Act of 1964, as amended, 42 U.S.C. § 2000e, *et seq.*] the court, in its discretion, may allow the prevailing party, other than the [Equal Employment Opportunity] Commission or the United States, a reasonable attorney's fee as part of the costs, and the Commission and the United States shall be liable for costs the same as a private person.

---

1. These opinions appear as Appendix B and Appendix E, respectively, of the petition.

**28 U.S.C. § 1927**

Any attorney or other person admitted to conduct cases in any court of the United States or any Territory thereof who so multiplies the proceedings in any case unreasonably and vexatiously may be required by the court to satisfy personally the excess costs, expenses, and attorneys' fees reasonably incurred because of such conduct.

**28 U.S.C. § 144**

Whenever a party to any proceeding in a district court makes and files a timely and sufficient affidavit that the judge before whom the matter is pending has a personal bias or prejudice either against him or in favor of any adverse party, such judge shall proceed no further therein, but another judge shall be assigned to hear such proceeding.

The affidavit shall state the facts and the reasons for the belief that bias or prejudice exists, and shall be filed not less than ten days before the beginning of the term at which the proceeding is to be heard, or good cause shall be shown for failure to file it within such time. A party may file only one such affidavit in any case. It shall be accompanied by a certificate of counsel of record stating that it is made in good faith.

**STATEMENT OF THE CASE**

This is a race discrimination case filed by Petitioner, Dennis J. Lewis, against Respondent, Brown & Root, Inc.,<sup>2</sup> in which Lewis alleges that Brown & Root terminated his employment and denied him reemployment in violation of Title VII of the Civil Rights Act of 1964, as

---

2. Brown & Root, Inc., a wholly owned subsidiary of Halliburton Company, is a Texas corporation engaged in the construction business.

amended, 42 U.S.C. § 2000e, *et seq.*, and 42 U.S.C. § 1981.

The district court, after a trial on the merits, found that Brown & Root had not discriminated against Lewis and dismissed his case. Alternatively, because Lewis and his counsel failed to return from a fifteen minute recess requested by Lewis' counsel during the trial of the case, the district court dismissed Lewis' action for want of prosecution. In addition, the district court found that Lewis' claims were so frivolous and were litigated in such an irresponsible, unreasonable and vexatious manner that Lewis and his counsel should be held jointly and severally liable for Brown & Root's attorneys' fees. The court of appeals affirmed in all respects. 711 F.2d 1287.

Lewis petitioned this Court for writ of certiorari (No. 83-799), and the petition was denied on January 16, 1984. A few days prior to this order the Fifth Circuit, on reconsideration *sua sponte*, vacated the district court's award of attorneys' fees against Petitioner's counsel and remanded the matter for reconsideration of the amount of attorneys' fees entered against Lewis' counsel. 722 F.2d 209. The Fifth Circuit's earlier opinion in all other respects remained unchanged. The Fifth Circuit also granted Brown & Root's motion for attorneys' fees on appeal and for double costs.<sup>3</sup> The Fifth Circuit denied Lewis' Petition for a Rehearing.

As the Fifth Circuit noted at the outset of its first opinion, "The record in this case paints a convincing

---

3. The Fifth Circuit remanded for a determination by the trial court of the proper amount of attorneys' fees on appeal. The Fifth Circuit also directed that both fees and costs be reduced by one-third. 722 F.2d at 210.

picture of the sort of civil rights action that should never have been filed." 711 F.2d at 1288. The Fifth Circuit's opinions (Appendix A and Appendix I of the Petition) contain all of the facts material to this Court's consideration of the questions presented by the petition. Consequently, these facts need not be restated here.

However, Respondent takes issue with several assertions made by Petitioner. First, Petitioner states that "at no time has he made a charge or claim that his discharge was discriminatory." (Petition at 5) Petitioner's complaint, upon which this lawsuit was tried, contains just such an allegation. (The Fifth Circuit shares Respondent's observation in this regard. 711 F.2d at 1288.) Only in Petitioner's brief to the Fifth Circuit on appeal did he concede that his discharge was not discriminatory.

Second, Petitioner states that the district court took its noon recess at 12:45 p.m. until 1:30 p.m., and makes reference to the original transcript of the trial, included in Appendix F of the petition. (Petition at 6) Although Petitioner later points out that the portion of the transcript to which he refers was corrected (Petition at 8), he fails to identify the nature of the correction and fails to append the district court's order reflecting the changes that were made. This order of the district court, and the affidavit of the court reporter incorporated therein, make it abundantly clear that Petitioner and his counsel failed to return from a fifteen minute recess which the district court granted at the request of Petitioner's counsel. (The referenced order and affidavit are appended hereto.) Petitioner's statement concerning an alleged noon recess is an outright falsehood.

Finally, Petitioner states that prior to leaving the courtroom after the requested fifteen minute recess had been granted, "court personnel of the district court were asked to inform the district judge the plaintiff and his counsel may be a few minutes late getting back . . ." (Petition at 6-7) Petitioner also asserts that he and his counsel were present in the courtroom prior to 1:00 p.m. (Petition at 6) There is no evidence in the record to support these statements. As the Fifth Circuit noted, such contentions were raised on brief and at argument but, "[s]o far as the record shows, neither Lewis nor his counsel ever appeared again after the recess." 711 F.2d at 1289.

### **SUMMARY OF ARGUMENT**

Several questions presented in the petition are not properly before the Court. Specifically, Petitioner seeks review of the same questions decided by the Fifth Circuit in its first decision affirming the district court. This Court denied certiorari with respect to that decision, and the Fifth Circuit's *sua sponte* reconsideration, from which the present petition arises, did not address these questions. In effect, then, Petitioner seeks a rehearing on the questions raised in his previous petition for certiorari. Under the Rules of the Supreme Court, Petitioner's request for a rehearing is untimely.

Moreover, as a review of the Fifth Circuit's decisions and the facts recited therein readily demonstrate, this case is not one which warrants the exercise of the Supreme Court's discretionary jurisdiction on writ of certiorari. None of the general considerations set forth in Supreme Court Rule 17 are met. The Fifth Circuit's decisions do not create a conflict in the circuits, or with any

state court of last resort, as to any question of law. In fact, no significant question of law is presented in the petition.

In essence, the petition seeks nothing more than a further review of the district court's findings of fact and of the district court's exercise of its discretion in dismissing Petitioner's case for want of prosecution. The district court found that (1) Petitioner was not the victim of discrimination, (2) Petitioner's case was frivolous, unreasonable and without foundation, and (3) Petitioner's counsel unreasonably and vexatiously multiplied this litigation. These findings of fact, as well as the district court's dismissal of Petitioner's case for want of prosecution, received the concurrence of the Fifth Circuit. Indeed, the Fifth Circuit again reviewed the record when it found that the appeal was in great part frivolous and largely constituted an unreasonable and vexatious multiplication of proceedings. The Supreme Court's discretionary jurisdiction on writ of certiorari should not be exercised merely to add a third layer of review of facts which already have been found in the same fashion by a federal district court and court of appeals.

#### **REASONS WHY THE WRIT SHOULD BE DENIED**

##### **1. Petitioner Has Improperly Sought Review of Issues on Which Writ of Certiorari Has Been Denied.**

Even a cursory examination of the petition reveals that six of the eight questions (Nos. 3-8) presented are virtually identical to those presented in Petitioner's previous Petition for Writ of Certiorari (No. 83-799). The

previous petition sought review of the Fifth Circuit's opinion reported at 711 F.2d 1287. The instant petition arises from the Fifth Circuit's subsequent decision granting Respondent's motion for an award of attorneys' fees on appeal and double costs. 722 F.2d 209.<sup>4</sup> This decision did not revisit any of the questions presented in the first petition for certiorari, and thus, these questions are not now properly before this Court.

At best, the petition is actually one for rehearing with respect to the six issues raised in the first petition and previously considered by this Court. According to Rule 51 of the Rules of the Supreme Court of the United States, a petition for rehearing of an order denying a petition for writ of certiorari shall be filed within 25 days after issuance of the order. This Court denied certiorari as to the Fifth Circuit's first opinion on January 16, 1984, and Petitioner did not file the instant petition until April 5, 1984. Thus, the petition, to the extent that it requests review of those issues previously submitted to this Court, is untimely.

**2. None of the Considerations Which Warrant the Supreme Court's Exercise of Discretionary Jurisdiction on Writ of Certiorari Is Met.**

Rule 17 of the Rules of the Supreme Court of the United States sets forth general considerations for determining whether review on writ of certiorari will be granted. In the instant case, the petition for writ of

---

4. Although Petitioner's statement of jurisdiction indicates that he is again seeking review of the Fifth Circuit's decision reported at 711 F.2d 1287, he questions the Fifth Circuit's award of attorneys' fees on appeal and double costs—an issue which was first raised in that court's subsequent decision reported at 722 F.2d 209.

certiorari raises none of these considerations, nor could it possibly do so because none of the considerations is met. The decisions of the Fifth Circuit do not conflict with a decision of another federal court of appeals on the same matter, nor do they conflict with a state court of last resort on a federal question. In fact, the Fifth Circuit decided no federal question at all. As will be set forth more fully below, the Fifth Circuit merely affirmed the fact-findings of the district court and the district court's application of clear and unequivocal statutory language to the facts found.

Finally, Petitioner does not assert that the decisions of the district court or the court of appeals have "so far departed from the accepted and usual course of judicial proceedings . . . as to call for an exercise of this Court's power of supervision." SUP. CT. R. 17.1(a). Petitioner does note, however, that this case "may have serious consequences with respect to enforcement of civil rights legislation." (Petition at 13) The fact of the matter is that this case will be of consequence only to litigants and counsel who commence, persist in, *and conduct in an irresponsible manner*, unwarranted proceedings like this one. 711 F.2d at 1292. Respondent submits that such people are few in number.

### **3. The Petition Merely Challenges the District Court's Findings of Fact Which Received the Concurrence of the Court of Appeals.**

As indicated above, no question of federal judicial power or statutory interpretation is involved in this case. Although the petition purports to raise questions of the "power" of the district court and Fifth Circuit to award attorneys' fees, there is really no dispute as to the judicial

authority of the courts. Section 2000e-5(k) of Title VII, as interpreted by this Court in *Christiansburg Garment Co. v. EEOC*, 434 U.S. 412 (1978), unequivocally gives the courts power to award attorneys' fees to a prevailing defendant. Similarly, 28 U.S.C. § 1927 clearly grants the courts authority to make Petitioner's counsel jointly and severally liable for an award of attorneys' fees under appropriate circumstances. In essence, Petitioner is challenging only the facts found by the district court which enabled it and the Fifth Circuit to exercise their undeniable judicial authority under § 2000e-5(k) and § 1927.

With respect to the merits of Petitioner's claims of race discrimination, the district court made a fact-finding that no discrimination took place. With regard to the award of attorneys' fees to Respondent, the district court found that Petitioner's case was frivolous, unreasonable, and without foundation, and that Petitioner's counsel unreasonably and vexatiously multiplied the proceedings. The fact-findings of the district court on the merits of Petitioner's claims, as well as the district court's fact-findings regarding Respondent's entitlement to attorneys' fees, all received the concurrence of the court of appeals.<sup>5</sup> Indeed, the Fifth Circuit, finding that the appeal, like the action itself, was frivolous and vexatiously multiplied the proceedings, granted Respondent's motion for attorneys' fees on appeal and double costs.

---

5. The Fifth Circuit's subsequent *sua sponte* reconsideration on the issue of attorneys' fees *did not* result in a finding that attorneys' fees were inappropriate. Rather, the Fifth Circuit held that attorneys' fees were appropriate from the point that Petitioner's counsel unreasonably and vexatiously multiplied the proceedings which, according to the district court's order, was when Petitioner proceeded to actual trial. 722 F.2d at 210.

Under well-accepted principles of Supreme Court practice and procedure, a petition challenging findings of fact does not raise issues worthy of the Supreme Court's attention. As stated by Justice Holmes, "We do not grant a certiorari to review evidence and discuss specific facts." *United States v. Johnston*, 268 U.S. 220, 227 (1925). This is particularly true where, as here, the district court's findings received the concurrence of the court of appeals. In the words of Justice Jackson, "A court of law, such as this Court is, rather than a court for correction of errors in fact finding, cannot undertake to review concurrent findings of fact by two courts below in the absence of a very obvious and exceptional showing of error." *Graver Tank & Manufacturing Co. v. Linde Air Products Co.*, 336 U.S. 271, 275 (1949).

In sum, the district court and the Fifth Circuit undeniably had the power to award attorneys' fees upon findings of frivolousness, unreasonableness and vexatiousness. In fact, a cursory review of the Fifth Circuit's opinion indicates that that court took an even dimmer view of these proceedings and the manner in which they were prosecuted than did the district court. In this regard, the opening line of the Fifth Circuit's earlier opinion bears repeating: "The record in this case paints a convincing picture of the sort of civil rights action that should never have been filed." 711 F.2d at 1288.<sup>6</sup> If the case should not have been filed, much less tried and appealed, it stands to reason that it does not merit the Supreme Court's attention.

---

6. Even Judge Tate, who dissented from the majority's holding on the attorney's fee award, felt that this case was "at best a marginal civil rights discrimination claim ineptly handled." 711 F.2d at 1292. Petitioner's assertion that "Judge Tate said the petitioner had been the victim of blatant discrimination by the employer" (Petition at 10) is simply not true.

#### 4. The Decisions of the District Court and the Court of Appeals Are Correct.

With respect to the questions presented by the petition, the district court and the court of appeals properly resolved each of these issues. In his first and third questions for review, Petitioner questions: (1) the Fifth Circuit's conclusion that Petitioner's appeal was in great part frivolous, unreasonable and without foundation and that it largely constituted an unreasonable and vexatious multiplication of the proceedings; and (2) the district court's findings that Petitioner's action was frivolous, unreasonable and without foundation and that Petitioner's counsel unreasonably and vexatiously multiplied these proceedings. As the district court and the court of appeals noted, the evidence offered by Petitioner did not demonstrate, even by inference, any unlawful discrimination. Petitioner "candidly and specifically attributed his discharge to the racially evenhanded enforcement of a valid rule against horseplay and the delay in his rehiring to the personal dislike borne him by a supervisor, stemming from an earlier incident between them which had nothing to do with race." 711 F.2d at 1291. In light of this evidence, and the repeated rehiring of Petitioner by Respondent after this litigation commenced, the findings that Petitioner's cause of action and subsequent appeal were frivolous are not clearly erroneous.

Similarly, given the "irresponsible *manner* in which the litigation was conducted," *id.* at 1292 (emphasis in original) and the record which reveals that "the entire course of proceedings was unwarranted," *id.*, the district court's finding that Petitioner's counsel unreasonably and vexatiously multiplied the proceedings by proceeding to trial is not clearly erroneous. For the same reasons, the

Fifth Circuit's conclusion that Petitioner's counsel further unreasonably and vexatiously multiplied these proceedings through appeal is not clearly erroneous.

The fourth issue raised by Petitioner is whether the district court had the power to award attorneys' fees more than ten days after the entry of judgment and after the filing of a notice of appeal. Petitioner did not raise the ten-day limitation issue arising under Rule 59(e) of the Federal Rules of Civil Procedure before the court of appeals and thus cannot properly raise it here. *Lawn v. United States*, 355 U.S. 339 (1958).<sup>7</sup> With respect to Petitioner's contention that a district court cannot award fees after a notice of appeal has been filed, it is significant that although Petitioner raised this issue in the court of appeals, the court of appeals did not feel the contention worthy of its consideration. In any event, Petitioner's argument in this respect is without merit. *Knighton v. Watkins*, 616 F.2d 795 (5th Cir. 1980).

The fifth issue raised by the petition for writ of certiorari is whether Petitioner was "allowed his day in court." The fact of the matter is that Petitioner had his day in court. Petitioner, whose case had been dismissed previously for want of prosecution, proceeded, along with his attorney, to disappear after he was cross-examined. Prior to the disappearance of Petitioner and his counsel, Petitioner's counsel already had advised the district court that he had no further witnesses left to call. These facts justify the Fifth Circuit's conclusions that Petitioner's counsel waived his opportunity to conduct redirect examination and that Petitioner's case on the merits was closed.

---

7. Even if the issue were properly before this Court, it has been held that a motion for attorneys' fees in a civil rights case is not governed by the ten-day limitation on motions to alter or amend judgments. *Knighton v. Watkins*, 616 F.2d 795 (5th Cir. 1980).

In his sixth question for review, Petitioner contends that his case should not have been dismissed because he proved a *prima facie* case. However, as this Court recently held in *United States Postal Service Board of Governors v. Aikens*, 103 S. Ct. 1478 (1983), the ultimate issue is one of discrimination *vel non*. In other words, regardless of the legal issues concerning the allocation of the burden of proof in employment discrimination cases, the ultimate question to be answered is whether the plaintiff was the victim of discrimination. In the instant case, the district court answered this question in the negative, and the court of appeals held that the record amply supported this finding. As discussed previously, Petitioner admitted that his discharge and the delay in his rehiring were not racially motivated. These admissions, plus the fact that Lewis was rehired by Brown & Root on several occasions following the institution of his lawsuit, further support the district court's finding of no discrimination.

Petitioner's seventh question for review concerns the district court's dismissal of his case for want of prosecution. The facts relevant to this issue, though set forth in the Fifth Circuit's opinion, bear repeating. Early in the litigation, Lewis evidenced his disregard for the prosecution of this case by failing to appear for a properly noticed deposition. Later, the failure of Lewis and his counsel to appear for docket call resulted in dismissal. Despite this record of inattentiveness to the litigation on the part of Lewis and his counsel, the district court reinstated the action. However, Lewis and his attorney did not learn their lesson and their failure to prosecute this case with any degree of diligence continued at the trial itself. Lewis appeared forty-five minutes late for his own trial, then, along with his counsel, inexplicably disappeared in the middle of the trial.

Petitioner comments upon the severity of the dismissal sanction. (Petition at 20) Dismissal for want of prosecution undoubtedly is a harsh sanction, but as this Court has stated with reference to the natural tendency on the part of reviewing courts to be influenced by the severity of dismissal:

But here, as in other areas of the law, the most severe in the spectrum of sanctions provided by statute or rule must be available to the district court in appropriate cases, not merely to penalize those whose conduct may be deemed to warrant such a sanction, but to deter those who might be tempted to such conduct in the absence of such a deterrent.

*National Hockey League v. Metropolitan Hockey Club, Inc.*, 427 U.S. 639, 643 (1976).

The district court, in the sound exercise of its discretion, found this case to be one where the harsh sanction of dismissal was appropriate. The court of appeals affirmed, finding that dismissal for want of prosecution was not an abuse of the district court's discretion. In so holding, the Fifth Circuit merely applied the well-established principle that "dismissal for want of prosecution [is appropriate] where there is a record of delay or contumacious conduct and an indication that the client knew of or participated in the attorney's failure to prosecute." 711 F.2d at 1291 (citing *Anthony v. Marion County General Hospital*, 617 F.2d 1164 (5th Cir. 1980); *Lopez v. Aransas County Independent School District*, 570 F.2d 541 (5th Cir. 1978)).

Finally, Petitioner questions whether the district judge should have disqualified himself from the trial of this case. The Fifth Circuit made no mention of this point in its

opinion, undoubtedly because the answer was abundantly clear. Petitioner alleged bias on the part of the district judge for the first time before the court of appeals. Consequently, he did not have standing to raise the issue because he had failed to comply with the requirements of 28 U.S.C. § 144. This statute requires a party to a proceeding in the district court to file a timely and sufficient affidavit stating that the judge before whom the matter is pending has a personal bias against him or in favor of the adverse party. The affidavit must state the facts and the reasons for the belief that bias exists "and shall be filed not less than ten days before the beginning of the term at which the proceeding is to be heard . . ." 28 U.S.C. § 144. As Lewis failed to file a timely affidavit, he was without standing in the court of appeals to contend bias by the district court. *Yates v. Manale*, 377 F.2d 888 (5th Cir. 1967), *cert. denied*, 390 U.S. 943 (1968).

#### **REQUEST FOR DAMAGES AND DOUBLE COSTS**

Rule 49.2 of the Rules of the Supreme Court permits the Court to award a respondent appropriate damages when a petition for writ of certiorari is frivolous. In light of the fact that the district court and court of appeals already have held this case to be frivolous, Respondent respectfully requests an award of damages equivalent to the attorneys' fees incurred by Respondent in responding to this petition. Respondent also respectfully requests that an award of double costs be made pursuant to Rule 50.7.

In addition, Respondent respectfully requests that Petitioner's counsel be made jointly and severally liable for any award of fees or costs. In light of the Fifth Circuit's statement "that the entire course of proceedings was un-

warranted and should neither have been commenced nor persisted in," 711 F.2d at 1292, it stands to reason that the petition filed with this Court further multiplied what were already unreasonable, vexatious and needless proceedings. Consequently, Petitioner's counsel should be held liable for the excess costs and attorneys' fees occasioned by the petition. 28 U.S.C. § 1927.

### CONCLUSION

The Petition for Writ of Certiorari does not raise issues which warrant the exercise of this Court's discretionary power of review. For the most part, the petition merely challenges findings of fact made by the district court which received the concurrence of the court of appeals. Both the district court and court of appeals made it abundantly clear that the judicial system should not have had to waste its time on Petitioner's frivolous case. This case has gone far enough. The Petition for Writ of Certiorari should be denied.

Respectfully submitted,

---

W. CARL JORDAN  
STUART B. JOHNSTON, JR.  
2939 First City Tower  
Houston, Texas 77002-6760  
(713) 651-2258

*Attorneys for Respondent,  
Brown & Root, Inc.*

*Of Counsel:*

VINSON & ELKINS  
First City Tower  
Houston, Texas 77002-6760

**CERTIFICATE OF SERVICE**

On this 9th day of May, 1984, three true and correct copies of the above and foregoing instrument were forwarded via United States certified mail, return receipt requested, to:

Mr. Horace R. George  
4720 Dowling Street  
Houston, Texas 77004

---

W. CARL JORDAN

**APPENDIX**

**IN THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION**

**CIVIL ACTION NO. H-80-1762**

[Filed January 7, 1983]

**DENNIS J. LEWIS,  
Plaintiff,**

**v.**

**BROWN & ROOT, INC.,  
Defendant.**

**ORDER**

Presently pending before the Court is Defendant's motion to correct the record pursuant to Rule 10(e), Fed. R. App. P. Upon reviewing the affidavits of Plaintiff and the Court Reporter, the arguments of Defendant's counsel and the Findings of Fact entered by the Court, it is apparent that there is no disagreement concerning the events which transpired on April 21, 1982.

It is, therefore,

ORDERED that the record of this case be corrected to reflect the facts stated in the affidavit of Mr. Gettig filed in this cause on January 7th, 1983.

DONE at Houston, Texas, this 7th day of January, 1983.

/s/ **ROSS N. STERLING**  
United States District Judge

IN THE UNITED STATES DISTRICT COURT  
FOR THE SOUTHERN DISTRICT OF TEXAS  
HOUSTON DIVISION

CIVIL ACTION NO. H-80-1762

DENNIS J. LEWIS,  
Plaintiff,

v.  
BROWN & ROOT, INC.,  
Defendant.

AFFIDAVIT

My name is Clinton B. Getig and I swear to the truth of the following facts:

On April 21, 1982, the above captioned case was heard before the Honorable Ross N. Sterling, and I was the Court Reporter. I kept accurate notes of the proceedings, but in keeping with my normal practice I did not record the precise time of recess and resumption of trial. Later, in attempting to assign the exact times when the rather unusual events of that day occurred, I made a mistake. Upon searching my memory, and reviewing the affidavit of Dennis J. Lewis, dated May 18, 1982, and the arguments of defense counsel submitted to the Court on October 28, 1982, I believe the Court announced a fifteen minute recess (not a noon recess) at approximately 12:20 p.m., reconvened at approximately 12:35 p.m., waited for the Plaintiff and his counsel for approximately fifteen additional minutes and then dismissed the case at approximately 12:50 p.m.

3a

SWORN TO AND SUBSCRIBED this 5th day of  
January, 1983.

/s/ CLINTON B. GETTIG  
Clinton B. Gettig

THE STATE OF TEXAS      )  
                            )  
COUNTY OF HARRIS      )

BEFORE ME, the undersigned authority, on this day personally appeared CLINTON B. GETTIG, who, after being duly sworn, stated that he is the person named in the foregoing instrument, and that every statement or thing contained therein is true to the best of his knowledge and belief.

SUBSCRIBED AND SWORN TO BEFORE ME, the undersigned Notary Public, this 5th day of January, 1983.

/s/ NANCY RICKER  
Notary Public in and for  
Harris County, Texas.  
My Commission Expires  
February 8, 1984